

# PHA Plans

5 Year Plan for Fiscal Years 2001 - 2005  
Annual Plan for Fiscal Year 2002

Carrollton Housing Authority

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN  
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

## **PHA Plan Agency Identification**

**PHA Name:** Carrollton Housing Authority

**PHA Number:** GA 116

**PHA Fiscal Year Beginning: (07/2001)**

### **Public Access to Information**

**Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)**

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ PHA local offices

### **Display Locations For PHA Plans and Supporting Documents**

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ PHA local offices
- ☐ Main administrative office of the local government
- ☐ Main administrative office of the County government
- ☐ Main administrative office of the State government
- ☐ Public library
- ☐ PHA website
- ☐ Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- ☒ Main business office of the PHA
- ☐ PHA development management offices
- ☐ Other (list below)

**5-YEAR PLAN**  
**PHA FISCAL YEARS 2001 - 2005**  
[24 CFR Part 903.5]

**A. Mission**

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- ☐ The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- ☒ The PHA's mission is: (state mission here)

The mission of the *Carrollton Housing Authority* is to be leader in providing affordable housing for very-low, low and moderate-income persons through effective management and wise stewardship of public funds and partnerships with our residents and others to enhance the quality of life in our communities.

**B. Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

**HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

- ☒ PHA Goal: Expand the supply of assisted housing  
Objectives:
- ☒ Apply for additional rental vouchers:
  - ☒ Reduce public housing vacancies:
  - ☒ Leverage private or other public funds to create additional housing opportunities:
  - ☒ Acquire or build units or developments
  - ☐ Other (list below)

- ☒ PHA Goal: Improve the quality of assisted housing  
Objectives:
- ☒ Improve public housing management: (PHAS score)
  - ☒ Improve voucher management: (SEMAP score)
  - ☒ Increase customer satisfaction:
  - ☒ Concentrate on efforts to improve specific management functions:  
(list; e.g., public housing finance; voucher unit inspections)
  - ☒ Renovate or modernize public housing units:
  - ☐ Demolish or dispose of obsolete public housing:
  - ☐ Provide replacement public housing:
  - ☐ Provide replacement vouchers:
  - ☐ Other: (list below)

- ☒ PHA Goal: Increase assisted housing choices  
Objectives:
- ☐ Provide voucher mobility counseling:
  - ☒ Conduct outreach efforts to potential voucher landlords
  - ☐ Increase voucher payment standards
  - ☒ Implement voucher homeownership program:
  - ☐ Implement public housing or other homeownership programs:
  - ☐ Implement public housing site-based waiting lists:
  - ☐ Convert public housing to vouchers:
  - ☒ Other: (list below)

Increase the present homeownership program.

**HUD Strategic Goal: Improve community quality of life and economic vitality**

- ☒ PHA Goal: Provide an improved living environment  
Objectives:
- ☐ Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
  - ☒ Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
  - ☒ Implement public housing security improvements:
  - ☐ Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
  - ☐ Other: (list below)

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

☒ PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- ☒ Increase the number and percentage of employed persons in assisted families:
- ☒ Provide or attract supportive services to improve assistance recipients' employability:
- ☒ Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- ☐ Other: (list below)

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

☒ PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

- ☐ Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
- ☐ Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
- ☒ Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
- ☐ Other: (list below)

**Other PHA Goals and Objectives: (list below)**

**Annual PHA Plan**  
**PHA Fiscal Year 2002**  
[24 CFR Part 903.7]

**i. Annual Plan Type:**

Select which type of Annual Plan the PHA will submit.

☒ **Standard Plan**

**Streamlined Plan:**

- ☐ **High Performing PHA**
- ☐ **Small Agency (<250 Public Housing Units)**
- ☐ **Administering Section 8 Only**

☐ **Troubled Agency Plan**

**ii. Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The purpose of the Agency Plan is to empower and equip the PHA to exercise optimum flexibility in meeting local housing needs within the community while also meeting its own needs. The Agency Plan contains a FY-2001-FY-2005 Five-Year Plan that includes the Authority's mission and long range goals and objectives. The FY-2002 Annual Plan addresses the Authority's immediate operations, current policies, program participants, programs and services, and the PHA's strategy for handling operational concerns, resident concerns and needs, and programs and services for the upcoming fiscal year. The Agency Plan outlines the PHA's efforts in meeting the needs of the low, very-low and extremely-low income population in its community and effectively serves as a management, operational and accountability tool for the PHA.

Preliminary planning sessions were conducted with the Authority's residents, Resident Advisory Board, community leaders and organizations, and State and local authorities during the development of the Agency Plan to ensure that the needs of the residents and community are addressed in the Agency Plan. The Agency Plan is consistent with the Consolidated Plan/CHAS.

### **iii. Annual Plan Table of Contents**

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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#### **Attachments**

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

#### **Required Attachments:**

- ☒ **A. Admissions Policy for Deconcentration**
- ☒ **FY 2001 Capital Fund Program Annual Statement (gal16a01)**
- ☐ **Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)**
- ☒ **B. Implementation of Community Service Requirements**

- ☒ C. Pet Policy
- ☒ D. Brief Statement of Progress in Meeting the 5-Year Plan Mission and Goals
- ☒ E. Resident Membership of the PHA Governing Board
- ☒ F. Membership of the Resident Advisory Board
- ☒ G. Criteria for Substantial Deviation and Significant Amendments
- ☒ H. Resident Service and Satisfaction Survey Follow-Up Plan
- ☒ I. Performance and Evaluation Reports(gal16c01)

Optional Attachments:

- ☐ PHA Management Organizational Chart
- ☒ FY 2001 Capital Fund Program 5 Year Action Plan (gal16a01)
- ☒ Public Housing Drug Elimination Program (PHDEP) Plan (gal16b01)
- ☐ Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- ☐ Other (List below, providing each attachment name)

### Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies



<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public	Annual Plan: Designation of

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
	housing (Designated Housing Plans)	Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

## **1. Statement of Housing Needs**

[24 CFR Part 903.7 9 (a)]

### **A. Housing Needs of Families in the Jurisdiction/s Served by the PHA**

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

<b>Housing Needs of Families in the Jurisdiction by Family Type</b>							
Family Type	Overall	Afford- ability	Supply	Quality	Access- ibility	Size	Loca- tion
Income <= 30% of AMI	1,304	5	4	3	3	3	3
Income >30% but <=50% of AMI	832	4	3	3	3	3	3
Income >50% but <80% of AMI	592	3	3	3	3	3	3
Elderly	344	4	3	4	4	3	4
Families with Disabilities	N/A	3	3	3	5	3	5
Black	1,067	3	3	3	3	3	3
White	1,890	3	3	3	3	3	3
Hispanic	0	3	3	3	3	3	3

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- ☐ Consolidated Plan of the Jurisdiction/s  
Indicate year:
- ☒ U.S. Census data: the Comprehensive Housing Affordability Strategy  
("CHAS") dataset
- ☐ American Housing Survey data  
Indicate year:
- ☐ Other housing market study  
Indicate year:
- ☐ Other sources: (list and indicate year of information)

## B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/>	Section 8 tenant-based assistance		
<input checked="" type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	51		50
Extremely low income (<=30% AMI)	45	88%	
Very low income (>30% but <=50% AMI)	5	10%	
Low income (>50% but <80% AMI)	1	2%	
Families with children	24	47%	
Elderly families	4	8%	
Families with Disabilities	7	14%	
Black	29	57%	
White	22	43%	
Characteristics by Bedroom Size (Public Housing Only)			
0BR	6	12%	4
1 BR	23	45%	22
2 BR	7	14%	5
3 BR	13	25%	12
4 BR	1	2%	4
5 BR	1	2%	4
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List
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Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance <input type="checkbox"/> Public Housing <input type="checkbox"/> Combined Section 8 and Public Housing <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	10		n/a
Extremely low income <=30% AMI	9	90%	
Very low income (>30% but <=50% AMI)	1	10%	
Low income (>50% but <80% AMI)	0	0%	
Families with children	8	80%	
Elderly families	0	0%	
Families with Disabilities	0	0%	
Black	9	90%	
White	1	10%	
Hispanic	0	0%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	n/a	n/a	n/a
2 BR	n/a	n/a	n/a
3 BR	n/a	n/a	n/a
4 BR	n/a	n/a	n/a
5 BR	n/a	n/a	n/a
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

### **C. Strategy for Addressing Needs**

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

#### **(1) Strategies**

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- ☐ Employ effective maintenance and management policies to minimize the number of public housing units off-line
- ☐ Reduce turnover time for vacated public housing units
- ☐ Reduce time to renovate public housing units
- ☐ Seek replacement of public housing units lost to the inventory through mixed finance development
- ☐ Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- ☐ Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- ☐ Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- ☐ Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- ☐ Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- ☐ Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- ☐ Other (list below)

**Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- ☐ Apply for additional section 8 units should they become available
- ☐ Leverage affordable housing resources in the community through the creation of mixed - finance housing
- ☐ Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- ☐ Other: (list below)

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- ☒ Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- ☒ Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- ☐ Employ admissions preferences aimed at families with economic hardships
- ☒ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- ☐ Employ admissions preferences aimed at families who are working
- ☒ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- ☐ Seek designation of public housing for the elderly
- ☐ Apply for special-purpose vouchers targeted to the elderly, should they become available
- ☐ Other: (list below)



**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- ☐ Seek designation of public housing for families with disabilities
- ☐ Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- ☐ Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- ☒ Affirmatively market to local non-profit agencies that assist families with disabilities
- ☐ Other: (list below)

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- ☒ Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- ☐ Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- ☐ Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- ☐ Market the section 8 program to owners outside of areas of poverty /minority concentrations
- ☐ Other: (list below)

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- ☒ Funding constraints
- ☒ Staffing constraints
- ☒ Limited availability of sites for assisted housing
- ☒ Extent to which particular housing needs are met by other organizations in the community
- ☒ Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- ☒ Influence of the housing market on PHA programs
- ☒ Community priorities regarding housing assistance
- ☒ Results of consultation with local or state government
- ☐ Results of consultation with residents and the Resident Advisory Board
- ☒ Results of consultation with advocacy groups
- ☐ Other: (list below)

## **2. Statement of Financial Resources**

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 2001 grants)</b>		
a) Public Housing Operating Fund	\$238,127	
b) Public Housing Capital Fund	\$504,000	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$627,854	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	\$68,794	
g) Resident Opportunity and Self-Sufficiency Grants		
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
2000 CFP	\$381,914	Capital Improvements
1998 CGP	\$20,778	Capital Improvements
<b>3. Public Housing Dwelling Rental Income</b>	\$528,060	PH Operations and Maintenance
Non-Dwelling Equipment	\$1,200	PH Oper. & Maint.
<b>4. Other income (list below)</b>		
Other Income	\$48,000	PH Oper. & Maint.
Excess Utilities	\$3,500	PH Oper. & Maint.
Interest on General Fund Investments	\$8,242	PH Oper. & Maint.
<b>5. Non-federal sources (list below)</b>		
<b>Total Resources</b>	<b>\$2,430,469</b>	

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.7 9 (c)]

#### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

##### **(1) Eligibility**

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- ☐ When families are within a certain number of being offered a unit: (state number)
- ☐ When families are within a certain time of being offered a unit: (state time)
- ☒ Other: (describe)  
At time of application taking.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- ☒ Criminal or Drug-related activity
- ☒ Rental history
- ☒ Housekeeping
- ☐ Other (describe)

c. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. ☐ Yes ☒ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

##### **(2)Waiting List Organization**

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- ☒ Community-wide list
- ☐ Sub-jurisdictional lists
- ☐ Site-based waiting lists
- ☐ Other (describe)

b. Where may interested persons apply for admission to public housing?

- ☒ PHA main administrative office  
☐ PHA development site management office  
☐ Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. ☐ Yes ☐ No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?

3. ☐ Yes ☐ No: May families be on more than one list simultaneously  
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- ☐ PHA main administrative office  
☐ All PHA development management offices  
☐ Management offices at developments with site-based waiting lists  
☐ At the development to which they would like to apply  
☐ Other (list below)

### **(3) Assignment**

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- ☐ One  
☐ Two  
☒ Three or More

b. ☒ Yes ☐ No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

#### **(4) Admissions Preferences**

a. Income targeting:

- ☒ Yes ☐ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- ☒ Emergencies  
☒ Overhoused  
☒ Underhoused  
☒ Medical justification  
☒ Administrative reasons determined by the PHA (e.g., to permit modernization work)  
☐ Resident choice: (state circumstances below)  
☐ Other: (list below)

c. Preferences

1. ☐ Yes ☒ No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)  
☐ Victims of domestic violence  
☐ Substandard housing  
☐ Homelessness  
☐ High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in the jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences:

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)  
Victims of domestic violence  
Substandard housing  
Homelessness  
High rent burden

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in the jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- ☐ The PHA applies preferences within income tiers
- ☐ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- ☒ The PHA-resident lease
- ☒ The PHA's Admissions and (Continued) Occupancy policy
- ☒ PHA briefing seminars or written materials
- ☐ Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- ☐ At an annual reexamination and lease renewal
- ☒ Any time family composition changes
- ☒ At family request for revision
- ☐ Other (list)

**(6) Deconcentration and Income Mixing**

a. ☐ Yes ☒ No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. ☒ Yes ☐ No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- ☐ Adoption of site based waiting lists  
If selected, list targeted developments below:
- ☒ Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments  
If selected, list targeted developments below:
- ☐ Employing new admission preferences at targeted developments



If selected, list targeted developments below:

☐ Other (list policies and developments targeted below)

d. ☐ Yes ☒ No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- ☐ Additional affirmative marketing
- ☐ Actions to improve the marketability of certain developments
- ☐ Adoption or adjustment of ceiling rents for certain developments
- ☐ Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- ☐ Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- ☒ Not applicable: results of analysis did not indicate a need for such efforts
- ☐ List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- ☒ Not applicable: results of analysis did not indicate a need for such efforts
- ☐ List (any applicable) developments below:

## B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### (1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- ☒ Criminal or drug-related activity only to the extent required by law or regulation
  - ☐ Criminal and drug-related activity, more extensively than required by law or regulation
  - ☐ More general screening than criminal and drug-related activity (list factors below)
  - ☐ Other (list below)
- b. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d. ☐ Yes ☒ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- ☒ Criminal or drug-related activity
  - ☐ Other (describe below)

### (2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- ☒ None
  - ☐ Federal public housing
  - ☐ Federal moderate rehabilitation
  - ☐ Federal project-based certificate program
  - ☐ Other federal or local program (list below)

- b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- ☒ PHA main administrative office  
☐ Other (list below)

**(3) Search Time**

- a. ☐ Yes ☒ No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

**(4) Admissions Preferences**

- a. Income targeting

- ☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

- b. Preferences

1. ☐ Yes ☒ No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)  
☐ Victims of domestic violence  
☐ Substandard housing  
☐ Homelessness  
☐ High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in your jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)  
Victims of domestic violence  
Substandard housing  
Homelessness  
High rent burden

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in your jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)
- ☐ Date and time of application
- ☐ Drawing (lottery) or other random choice technique
5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)
- ☐ This preference has previously been reviewed and approved by HUD
- ☐ The PHA requests approval for this preference through this PHA Plan
6. Relationship of preferences to income targeting requirements: (select one)
- ☐ The PHA applies preferences within income tiers
- ☐ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Special Purpose Section 8 Assistance Programs**

- a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)
- ☒ The Section 8 Administrative Plan
- ☒ Briefing sessions and written materials
- ☐ Other (list below)
- b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?
- ☒ Through published notices
- ☐ Other (list below)

#### **4. PHA Rent Determination Policies**

[24 CFR Part 903.7 9 (d)]

##### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

##### **(1) Income Based Rent Policies**

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- ☒ The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- ☐ The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- ☐ \$0  
☐ \$1-\$25  
☐ \$26-\$50

2. ☐ Yes ☐ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. ☐ Yes ☐ No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:
- d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)
- ☐ For the earned income of a previously unemployed household member
  - ☐ For increases in earned income
  - ☐ Fixed amount (other than general rent-setting policy)  
If yes, state amount/s and circumstances below:
  - ☐ Fixed percentage (other than general rent-setting policy)  
If yes, state percentage/s and circumstances below:
  - ☐ For household heads
  - ☐ For other family members
  - ☐ For transportation expenses
  - ☐ For the non-reimbursed medical expenses of non-disabled or non-elderly families
  - ☐ Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)
- ☐ Yes for all developments
  - ☐ Yes but only for some developments
  - ☐ No
2. For which kinds of developments are ceiling rents in place? (select all that apply)
- ☐ For all developments
  - ☐ For all general occupancy developments (not elderly or disabled or elderly only)
  - ☐ For specified general occupancy developments
  - ☐ For certain parts of developments; e.g., the high-rise portion
  - ☐ For certain size units; e.g., larger bedroom sizes
  - ☐ Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- ☐ Market comparability study
- ☐ Fair market rents (FMR)
- ☐ 95<sup>th</sup> percentile rents
- ☐ 75 percent of operating costs
- ☐ 100 percent of operating costs for general occupancy (family) developments
- ☐ Operating costs plus debt service
- ☐ The "rental value" of the unit
- ☐ Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- ☐ Never
- ☐ At family option
- ☐ Any time the family experiences an income increase
- ☐ Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)\_\_\_\_\_
- ☐ Other (list below)

- g. ☐ Yes ☐ No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

**(2) Flat Rents**

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- ☐ The section 8 rent reasonableness study of comparable housing
- ☐ Survey of rents listed in local newspaper
- ☒ Survey of similar unassisted units in the neighborhood
- ☐ Other (list/describe below)



## B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### (1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- ☐ At or above 90% but below 100% of FMR
- ☒ 100% of FMR
- ☐ Above 100% but at or below 110% of FMR
- ☐ Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- ☐ FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☐ The PHA has chosen to serve additional families by lowering the payment standard
- ☐ Reflects market or submarket
- ☐ Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- ☐ FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☐ Reflects market or submarket
- ☐ To increase housing options for families
- ☐ Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- ☒ Annually
- ☐ Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- ☐ Success rates of assisted families  
☒ Rent burdens of assisted families  
☐ Other (list below)

## **(2) Minimum Rent**

a. What amount best reflects the PHA's minimum rent? (select one)

- ☐ \$0  
☐ \$1-\$25  
☒ \$26-\$50

b. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

## **5. Operations and Management**

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

### **A. PHA Management Structure**

Describe the PHA's management structure and organization.  
(select one)

- ☐ An organization chart showing the PHA's management structure and organization is attached.  
☒ A brief description of the management structure and organization of the PHA follows:

The long range planning of the Carrollton Housing Authority is structured by the Board of Commissioners. The day-to-day operations are managed by the Executive Director who reports directly to the Board of Commissioners. The Authority can be broken down into Public Housing, Section 8, Resident Programs and Maintenance. Each of these areas has a supervisor who manages the area and reports to the Executive Director.

## B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	280	30%
Section 8 Vouchers	n/a	n/a
Section 8 Certificates	30	8-10
Section 8 Mod Rehab	n/a	n/a
Special Purpose Section 8 Certificates/Vouchers (list individually)	n/a	n/a
Public Housing Drug Elimination Program (PHDEP)	280	30%
Other Federal Programs(list individually)	n/a	n/a

## C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

### (1) Public Housing Maintenance and Management: (list below)

ACOP	Capitalization	Disposition
Grievance	Insurance	Investment
Maintenance	Personnel	Procurement
Resident Services	Safety	
Violence and Harassment		

### (2) Section 8 Management: (list below)

Section 8 Administration Plan	Grievance
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## **6. PHA Grievance Procedures**

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

### **A. Public Housing**

1. ☐ Yes ☒ No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- ☒ PHA main administrative office  
☐ PHA development management offices  
☐ Other (list below)

### **B. Section 8 Tenant-Based Assistance**

1. ☐ Yes ☒ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- ☒ PHA main administrative office  
☐ Other (list below)

## **7. Capital Improvement Needs**

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

### **A. Capital Fund Activities**

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

#### **(1) Capital Fund Program Annual Statement**

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

☒ The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment gal116a01

-or-

☐ The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

#### **(2) Optional 5-Year Action Plan**

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. ☒ Yes ☐ No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

☒ The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment gal116a01

-or-

☐ The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

## B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- ☐ Yes ☒ No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
- b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)
  - ☐ Revitalization Plan under development
  - ☐ Revitalization Plan submitted, pending approval
  - ☐ Revitalization Plan approved
  - ☐ Activities pursuant to an approved Revitalization Plan underway

- ☐ Yes ☒ No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?  
If yes, list development name/s below:

- ☐ Yes ☒ No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?  
If yes, list developments or activities below:

- ☐ Yes ☒ No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?  
If yes, list developments or activities below:

## **8. Demolition and Disposition**

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

### 2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

<b>Demolition/Disposition Activity Description</b>
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected: 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

**9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities**

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name:
1b. Development (project) number:
2. Designation type: Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one) Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected:



7. Coverage of action (select one)

- ☐ Part of the development  
☐ Total development

## **10. Conversion of Public Housing to Tenant-Based Assistance**

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

### **A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act**

1. ☐ Yes ☒ No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

<b>Conversion of Public Housing Activity Description</b>
1a. Development name:
1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status)

- ☐ Conversion Plan in development
- ☐ Conversion Plan submitted to HUD on: (DD/MM/YYYY)
- ☐ Conversion Plan approved by HUD on: (DD/MM/YYYY)
- ☐ Activities pursuant to HUD-approved Conversion Plan underway

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

- ☐ Units addressed in a pending or approved demolition application (date submitted or approved: \_\_\_\_\_)
- ☐ Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: \_\_\_\_\_)
- ☐ Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: \_\_\_\_\_)
- ☐ Requirements no longer applicable: vacancy rates are less than 10 percent
- ☐ Requirements no longer applicable: site now has less than 300 units
- ☐ Other: (describe below)

**B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937**

**C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937**

## **11. Homeownership Programs Administered by the PHA**

[24 CFR Part 903.7 9 (k)]

### **A. Public Housing**

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. ☒ Yes ☐ No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

- ☐ Yes ☒ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

<b>Public Housing Homeownership Activity Description (Complete one for each development affected)</b>
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

## B. Section 8 Tenant Based Assistance

1. ☐ Yes ☒ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

### 2. Program Description:

#### a. Size of Program

- ☐ Yes ☐ No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- ☐ 25 or fewer participants  
☐ 26 - 50 participants  
☐ 51 to 100 participants  
☐ more than 100 participants

#### b. PHA-established eligibility criteria

- ☐ Yes ☐ No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

## **12. PHA Community Service and Self-sufficiency Programs**

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

### **A. PHA Coordination with the Welfare (TANF) Agency**

1. Cooperative agreements:

- ☒ Yes ☐ No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- ☒ Client referrals
- ☒ Information sharing regarding mutual clients (for rent determinations and otherwise)
- ☒ Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- ☒ Jointly administer programs
- ☒ Partner to administer a HUD Welfare-to-Work voucher program
- ☐ Joint administration of other demonstration program
- ☐ Other (describe)

### **B. Services and programs offered to residents and participants**

#### **(1) General**

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- ☒ Public housing rent determination policies
- ☒ Public housing admissions policies
- ☐ Section 8 admissions policies
- ☐ Preference in admission to section 8 for certain public housing families
- ☐ Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- ☐ Preference/eligibility for public housing homeownership option participation
- ☐ Preference/eligibility for section 8 homeownership option participation

☐ Other policies (list below)

b. Economic and Social self-sufficiency programs

☒ Yes ☐ No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use. )

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or Section 8 participants or both)
<i>After School Program</i>		<i>Other</i>	<i>PHA Main Office</i>	<i>Both</i>
<i>Adult Literacy Program</i>		<i>Other</i>	<i>PHA Main Office</i>	<i>Both</i>
<i>Employment Counseling and Job Search</i>		<i>Other</i>	<i>PHA Main Office</i>	<i>Both</i>
<i>Community Policing Program</i>		<i>Other</i>	<i>PHA Main Office</i>	<i>Both</i>
<i>Elderly/Disabled Services</i>		<i>Other</i>	<i>PHA Main Office</i>	<i>Both</i>
<i>“Right from the Start”</i>		<i>Other</i>	<i>PHA Main Office</i>	<i>Both</i>
<i>Family Planning/Teen Pregnancy Counseling</i>		<i>Other</i>	<i>PHA Main Office</i>	<i>Both</i>
<i>PTC/JTPA</i>		<i>Other</i>	<i>PHA Main Office</i>	<i>Both</i>

**(2) Family Self Sufficiency program/s**

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2001 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing		
Section 8		

- b. ☐ Yes ☐ No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?  
If no, list steps the PHA will take below:

**C. Welfare Benefit Reductions**

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)
- ☒ Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
  - ☒ Informing residents of new policy on admission and reexamination
  - ☒ Actively notifying residents of new policy at times in addition to admission and reexamination.
  - ☒ Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
  - ☒ Establishing a protocol for exchange of information with all appropriate TANF agencies
  - ☐ Other: (list below)

<b>D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937</b>
--

### **13. PHA Safety and Crime Prevention Measures**

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

#### **A. Need for measures to ensure the safety of public housing residents**

1. Describe the need for measures to ensure the safety of public housing residents

(select all that apply)

- ☒ High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- ☒ High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- ☒ Residents fearful for their safety and/or the safety of their children
- ☐ Observed lower-level crime, vandalism and/or graffiti
- ☐ People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- ☐ Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- ☐ Safety and security survey of residents
- ☒ Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- ☐ Analysis of cost trends over time for repair of vandalism and removal of graffiti
- ☒ Resident reports
- ☐ PHA employee reports
- ☒ Police reports
- ☐ Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- ☐ Other (describe below)

3. Which developments are most affected? (list below)

All developments



**B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year**

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- ☒ Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- ☐ Crime Prevention Through Environmental Design
- ☒ Activities targeted to at-risk youth, adults, or seniors
- ☒ Volunteer Resident Patrol/Block Watchers Program
- ☐ Other (describe below)

2. Which developments are most affected? (list below)

All developments

**C. Coordination between PHA and the police**

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- ☒ Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- ☒ Police provide crime data to housing authority staff for analysis and action
- ☒ Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- ☐ Police regularly testify in and otherwise support eviction cases
- ☒ Police regularly meet with the PHA management and residents
- ☒ Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- ☐ Other activities (list below)

2. Which developments are most affected? (list below)

All developments

**D. Additional information as required by PHDEP/PHDEP Plan**

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- ☒ Yes ☐ No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- ☒ Yes ☐ No: Has the PHA included the PHDEP Plan for FY 2001 in this PHA Plan?
- ☒ Yes ☐ No: This PHDEP Plan is Attachment ga116b01.

## **14. RESERVED FOR PET POLICY**

[24 CFR Part 903.7 9 (n)]

## **15. Civil Rights Certifications**

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

## **16. Fiscal Audit**

[24 CFR Part 903.7 9 (p)]

1. ☒ Yes ☐ No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?  
(If no, skip to component 17.)
2. ☒ Yes ☐ No: Was the most recent fiscal audit submitted to HUD?
3. ☐ Yes ☒ No: Were there any findings as the result of that audit?
4. ☐ Yes ☐ No: If there were any findings, do any remain unresolved?  
If yes, how many unresolved findings remain? \_\_\_\_\_
5. ☐ Yes ☐ No: Have responses to any unresolved findings been submitted to HUD?  
If not, when are they due (state below)?

## **17. PHA Asset Management**

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. ☐ Yes ☒ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)

- ☒ Not applicable
- ☐ Private management
- ☐ Development-based accounting
- ☐ Comprehensive stock assessment
- ☐ Other: (list below)

3. ☐ Yes ☒ No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

## **18. Other Information**

[24 CFR Part 903.7 9 (r)]

### **A. Resident Advisory Board Recommendations**

1. ☐ Yes ☒ No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

- ☐ Attached at Attachment (File name)
- ☐ Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

- ☐ Considered comments, but determined that no changes to the PHA Plan were necessary.
- ☐ The PHA changed portions of the PHA Plan in response to comments  
List changes below:
- ☐ Other: (list below)

### **B. Description of Election process for Residents on the PHA Board**

1. ☐ Yes ☒ No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. ☐ Yes ☒ No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

### 3. Description of Resident Election Process

#### a. Nomination of candidates for place on the ballot: (select all that apply)

- ☐ Candidates were nominated by resident and assisted family organizations
- ☐ Candidates could be nominated by any adult recipient of PHA assistance
- ☐ Self-nomination: Candidates registered with the PHA and requested a place on ballot
- ☐ Other: (describe)

#### b. Eligible candidates: (select one)

- ☐ Any recipient of PHA assistance
- ☐ Any head of household receiving PHA assistance
- ☐ Any adult recipient of PHA assistance
- ☐ Any adult member of a resident or assisted family organization
- ☐ Other (list)

#### c. Eligible voters: (select all that apply)

- ☐ All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- ☐ Representatives of all PHA resident and assisted family organizations
- ☐ Other (list)

### C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- ☒ The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- ☐ The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- ☐ The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- ☐ Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- ☐ Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The State of Georgia Consolidated Plan supports the Carrollton Housing Authority's Agency Plan with the following Strategic Plan Priority:

To increase the number of Georgia's low and moderate-income households who have obtained affordable, rental housing free of overcrowded and structurally substandard conditions.

#### **D. Other Information Required by HUD**

Use this section to provide any additional information requested by HUD.

## **Attachments**

Use this section to provide any additional attachments referenced in the Plans.

A.

### **DECONCENTRATION POLICY**

The Housing Authority will strive to create mixed-income communities and lessen the concentration of very-low income families within the Housing Authority's public housing developments through admissions policies designed to bring in higher income tenants into lower income developments and lower income tenants into higher income developments. This policy shall not be construed to impose or require any specific income or racial quotas for any public housing development owned by the Housing Authority.

In order to achieve deconcentration, the PHA may choose to skip an applicant on the waiting list in order to house a family who is willing to accept a unit in a targeted development. The PHA may also grant incentive rents (or other incentives) the purpose of creating mixed income communities and lessening the concentration of extremely-low and very-low income families in one area. The applicant family shall have sole discretion of determining whether to accept the incentive and the Housing Authority shall not take any adverse action toward any eligible family for choosing not to accept an incentive.

The following chart is an analysis of Carrollton's current resident population. This chart will be updated at least annually to ensure that Carrollton's developments remain deconcentrated.

	<b>Totals</b>
<b>Average</b>	
Age (HH)	49.42
Residency	4.2 years
Family Size	3.2 Years
Elderly Size	1.3 years
Income All Families	\$10,247

## **Attachment B**

### **Implementation of Community Service Requirements**

The Carrollton Housing Authority is in the process of implementing the Community Service requirement as issued by HUD. The Authority will administer the Community Service Program. The program requires all Public Housing residents who are not exempt to perform eight hours of community service activities, eight hours of self-sufficiency activities or eight hours of combined community service activities and self-sufficiency activities each month. The exemptions are contained in the full policy available at the Authority's main office.

In administering the program, the Authority will notify each resident of their obligation to meet the program requirements. At the time of notification, the Authority will present the resident with a list of eligible programs that will satisfy the community service requirement. Residents will also be able to complete other programs as long as they are approved by the Authority's management.

The Authority will, at least 30 days before the end of a tenant's lease, notify tenant's of noncompliance. At this time, the resident will be given a chance to make themselves compliant by the end of their lease termination date. If the resident does not comply with the requirement, their lease will not be renewed.

A copy of the complete policy is available at the Housing Authority's main office.

## **Attachment C - Pet Policy**

In accordance with Section 526 of the Quality Housing and Work Responsibility Act the Carrollton Housing Authority (CHA) will permit residents of public housing to own and keep common household pets in their apartment. This policy sets forth the conditions and guidelines under which pets will be permitted in CHA's elderly/disabled and "family" units. The purpose of the policy is to ensure that pet ownership will not be injurious to persons or property, or violate the rights of all tenants to clean, quiet, and safe surroundings. A full policy is available at the Housing Authority's main office.

The policy defines which type of pets are allowed.

Every pet must be registered with the Carrollton Housing Authority's management prior to moving into the building and updated annually thereafter at the time of reexamination. The pet owner must be a member of the resident's household. The policy contains a list of items that the resident must obtain prior to housing a pet.

The policy contains a limit for the number of pets one family may own.

No visitor or guest will be allowed to bring pets on the premises at anytime. Residents will not be allowed to Pet Sit or House a Pet without fully complying with this policy.

Feeding or caring for stray animals is prohibited and will be considered keeping a pet without permission.

The policy contains a description of how pets must be restrained when outdoors and how owners will properly dispose of pet waste.

At no time will pets be allowed in any public area such as community buildings, laundry rooms, sitting rooms, etc. Pets should only be in the lobby when entering or leaving the building.

There are stipulations in the policy that discuss the removal of registered pets from the Housing Authority property if violations of rules occur.

Pet owners shall assume full responsibility for any vicious or destructive act by the pet.

Management and tenant agree to utilize the Grievance Procedure described in the Lease Agreement to resolve any dispute between tenant and management regarding a pet.

A nonrefundable "Pet Fee" will be required for dogs and cats only, however, all pet owners must comply with registration rules for all other pets. The "Pet Fee" must be paid in advance and is to be used to pay reasonable expenses directly attributable to the presence of the pet in the project including, but not limited to, the cost of repairs and replacements to, and fumigation of, the tenant's dwelling unit. The amount of the "Pet Fee" will be \$300.

No pet shall be raised, bred, kept, or trained for any commercial purpose.

The Pet Policy does not apply to animals that are used to assist persons with disabilities. This exclusion applies to animals that reside in the development exclusively for the elderly or persons with disabilities, as well as animals used to assist persons with disabilities that visit the development. Pets used for the purpose of aiding residents with disabilities must have appropriate certification. The Authority shall maintain a list of agencies which provide and/or train animals to give assistance to individuals with disabilities.



## **Attachment D**

### **Brief Statement of Progress in Meeting the 5-Year Plan Mission and Goals**

At this time the Carrollton Housing Authority is in the process of meeting the goals and objectives stated in the Five-Year Agency Plan.

## **Attachment E**

### **Resident Membership of the PHA Governing Board**

Currently, there is no resident on the PHA Governing Board. The Authority placed a request for any interested residents to apply for the resident Commissioner position but no one replied. The next Commissioner's Term expires in September of 2001.

## **Attachment F**

### **Membership of the Resident Advisory Board**

Betty Hadaway  
Louise Brumett  
Lucille Rolleston  
Odell Fortner  
Nancy Brown  
Opal Akers  
Louise Farmer  
Lillie Turner  
Glenda Hendrix  
Bonnie Bryant  
Miles Bryant  
Willie Billingsley  
Sybil Brown  
Mary Hendrix  
Hazel Trammell  
Dorothy Smith  
Mary Jo Walker  
Michelle Evans  
Becky Morrow

Shirley Thomas  
Geneva Smith  
Barbara Newell  
Mae Green  
Rocco D'Alesandro  
Lillian Harper  
Mary Ann Harris  
J.T. Johnson  
Opal Henderson  
Lois Blair  
Opal Ayers  
Debra Ingle  
Betty Emory  
Viola Thompson  
Willie Johnson  
Bonnell Dean  
Shirley Ackey  
Robert Sales  
Peggy Broome

## **Attachment G**

### **Criteria for Substantial Deviation and Significant Amendments**

#### **A. Substantial Deviation from the 5-year Plan:**

A “Substantial Deviation” from the 5-Year Plan is an overall change in the direction of the Authority pertaining to the Authority’s Goals and Objectives. This includes changing the Authority’s Goals and Objectives.

#### **B. Significant Amendment or Modification to the Annual Plan:**

A “Significant Amendment or Modification” to the Annual Plan is a change in a policy or policies pertaining to the operation of the Authority. This includes the following:

- Changes to rent or admissions policies or organization of the waiting list.
- Additions of non-emergency work items over \$50,000(items not included in the current Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund.
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

## **Attachment H**

### **Resident Service and Satisfaction Survey Follow-Up Plan**

#### **Overview**

The results of the Resident Service and Satisfaction Survey indicate that the Carrollton Housing Authority received a score of 92.9% in the Maintenance and Repair section, 72.3% under the Communications section, 70.9% under the Safety section, 81.4% under the Services section, and 72.3% under the Neighborhood Appearance section. As a result, we are required to include this Resident Assessment Follow-Up Plan along with our FY2002 Agency Plan Annual Update for any sections that fell below 75%.

#### **Communication**

**GOAL:** To improve the quality of communication to all residents.

**ACTION:** Meet with the Resident Advisory Board and discuss their concerns regarding any of the sections outlined in the Survey pertaining to communication and customer service. Meet with the staff periodically to discuss the importance of communication. The Housing Authority will strive to continue to improve the quality of service we provide and address the issues brought forth by the Advisory Board. The Authority shall achieve a level of customer satisfaction that gives the Agency the highest possible score in this element of the Public Housing Assessment System. This will be an on-going process.

#### **Safety**

**GOAL:** To address the concern of residents pertaining to safety and security outlined in the Resident Service and Satisfaction Survey; develop programs that focus on improving security.

**ACTION:** The Authority plans to continue the reimbursement of law enforcement through its Drug Elimination Program. The Authority will also meet with the Resident Advisory Board to discuss what actions can be taken to make the developments safer for all families.

#### **Neighborhood Appearance**

**GOAL:** To improve the overall neighborhood appearance.

**ACTION:** The Authority shall achieve proper curb appeal for its public housing developments by improving landscaping, keeping its grass cut, making properties litter free and other actions. This will be an on-going process.

**Optional Public Housing Asset Management Table**

See Technical Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management								
Development Identification		Activity Description						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition / disposition <i>Component 8</i>	Designated housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home-ownership <i>Component 11a</i>	Other (describe) <i>Component 17</i>

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name:</b> Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P11650101 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 2001
<input checked="" type="checkbox"/> <b>Original Annual Statement</b> <input type="checkbox"/> <b>Reserve for Disasters/ Emergencies</b> <input type="checkbox"/> <b>Revised Annual Statement (revision no:     )</b> <input type="checkbox"/> <b>Performance and Evaluation Report for Period Ending:</b> <input type="checkbox"/> <b>Final Performance and Evaluation Report</b>					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	\$45,000.00			
4	1410 Administration	\$36,100.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$27,600.00			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	\$321,100.00			
11	1465.1 Dwelling Equipment—Nonexpendable	\$43,200.00			
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	\$31,000.00			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$504,000.00			
22	Amount of line 21 Related to LBP Activities				

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name:</b> Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P11650101 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 2001
<input checked="" type="checkbox"/> <b>Original Annual Statement</b> <input type="checkbox"/> <b>Reserve for Disasters/ Emergencies</b> <input type="checkbox"/> <b>Revised Annual Statement (revision no:     )</b> <input type="checkbox"/> <b>Performance and Evaluation Report for Period Ending:</b> <input type="checkbox"/> <b>Final Performance and Evaluation Report</b>					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				



## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name: Carrollton Housing Authority		Grant Type and Number Capital Fund Program Grant No: GA06P11650101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b>Dwelling Structures</b>							
GA116-2 Davis Homes	Install heavy duty screen front doors with stainless steel screen. Refinish existing doors and frames.	1460	46 units	\$10,350				
	Replace kitchen cabinets, countertops, sinks, faucets, rangehoods and floor tile (12 x 12)	1460	46 units	\$160,540				
	Install new security screens (bronze screens) on all windows at dwelling units.	1460	46 units	\$48,000				
GA116-3 Ingram Homes	Install heavy duty screen front and rear doors with stainless steel screen. Refinish existing doors and frames.	1460	26 units	\$11,700				
	Replace kitchen cabinets, countertops, sinks, faucets, rangehoods and floor tile.	1460	26 units	\$90,510				
	<b>Subtotal 1460</b>			<b>\$321,100</b>				

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part II: Supporting Pages</b>								
PHA Name: Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P11650101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	<b>Dwelling Equipment</b>							
	Install new ranges	1465.1	72	\$15,840.00				
	Install new refrigerators	1465.1	72	\$27,360.00				
	<b>Subtotal 1465.1</b>			<b>\$43,200.00</b>				
PHA-Wide	<b>Non-Dwelling Equipment</b>							
	Purchase Maintenance Vehicle	1475	LS	\$26,000.00				
	Replacement of Major Office Equipment	1475	LS	\$5,000.00				
	<b>Subtotal 1475</b>			<b>\$31,000.00</b>				
PHA-Wide	<b>Management Improvements</b>							
	Salary and Benefits for Literacy Instructor	1408	LS	\$15,000.00				
	Salary and Benefits for Elderly Service Coordinator	1408	LS	\$15,000.00				
	Salary for part-time Records Management Staff Person	1408	LS	\$15,000.00				
	<b>Subtotal 1408</b>			<b>\$45,000.00</b>				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Carrollton Housing Authority		Grant Type and Number Capital Fund Program Grant No: GA06P11650101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	Administrative Costs							
	Administrative Salaries and Benefits	1410	LS	\$36,100.00				
	Subtotal 1410			\$36,100.00				
PHA-Wide	Fees and Costs							
	A/E Services	1430.1	LS	\$25,600.00				
	Consulting Services for Budget Revisions and Progress and Evaluation Reports	1430.2	LS	\$2,000.00				
	Subtotal 1430			\$27,600.00				
	Grant Total			\$504,000.00				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

<b>PHA Name:</b> Carrollton Housing Authority			<b>Grant Type and Number</b> Capital Fund Program No: GA06P11650101 Replacement Housing Factor No:			<b>Federal FY of Grant:</b> 2001	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual	
GA116-2 Davis Homes	6/30/03			9/30/03			
GA116-3 Ingram Homes	6/30/03			9/30/03			
PHA-Wide	6/30/03			9/30/03			

## Capital Fund Program Five-Year Action Plan

### Part I: Summary

PHA Name Carrollton Housing Authority				<input checked="" type="checkbox"/> <b>Original 5-Year Plan</b> <input type="checkbox"/> <b>Revision No:</b>	
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2002 PHA FY: 2003	Work Statement for Year 3 FFY Grant: 2003 PHA FY: 2004	Work Statement for Year 4 FFY Grant: 2004 PHA FY: 2005	Work Statement for Year 5 FFY Grant: 2005 PHA FY: 2006
PHA-Wide	Annual Statement	\$138,764	\$173,218	\$173,218	\$173,218
GA116-1 Griffin Homes		\$29,700			
GA116-2 Davis Homes					
GA116-3 Ingram homes		\$42,000	\$330,782	\$330,782	\$330,782
GA116-4 Thomas Homes					
GA116-5A & B Elder Homes and Alabama Circle		\$293,536			
CFP Funds Listed for 5-year planning		\$504,000	\$504,000	\$504,000	\$504,000
Replacement Housing Factor Funds					

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages—Work Activities**

Activities for Year 1	Activities for Year : 2 FFY Grant: 2002 PHA FY: 2003			Activities for Year: 3 FFY Grant: 2003 PHA FY: 2004		
	<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>	<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>
See	GA116-1 Griffin Homes	Install heavy duty screen front and rear doors with stainless steel screen. Refinish existing doors and frames.	\$29,700	GA116-3 Ingram Homes	Upgrade insulation to R-36	\$6,566
Annual		<b>Subtotal GA116-1</b>	<b>\$29,700</b>		Replace windows with insulated type. Framing will be required.	\$31,106
Statement					Replace front door	\$4,165
	GA116-3 Ingram Homes	Install new security screens (bronze screens) on all windows at dwelling units.	\$42,000		Replace rear door	\$4,165
		<b>Subtotal GA116-3</b>	<b>\$42,000</b>		Install solid core interior doors and hardware	\$11,858
					Replace floor tile and base (abatement cost below)	\$15,190
	GA116-5A & B Elder Homes and Alabama Circle	Install a dropped ceiling in living room/dining room and bedroom using Force Account. Revise ductwork, electrical, etc.	\$293,536		Replace kitchen cabinets, countertops, sinks, faucets and rangehoods	\$33,320
		<b>Subtotal GA116-5A &amp; B</b>	<b>\$293,536</b>		Replace bathroom lavatory, vanity, faucet, shower, mixing valve and tub surround	\$20,190
					Replace light fixtures	\$4,116

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages—Work Activities**

Activities for Year : 2 FFY Grant: 2002 PHA FY: 2003			Activities for Year: 3 FFY Grant: 2003 PHA FY: 2004		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
			GA116-3	Replace porch lights with vandalproof	\$882
PHA-Wide	Purchase Maintenance Vehicle	\$25,000		Install GFI in bath and kitchen	\$1,568
	Purchase Filing Cabinet System	\$5,000		Replace water heaters and vent piping. Run relief line to exterior.	\$4,704
	Salary and Benefits for Literacy Instructor	\$15,000		Install screen doors	\$4,900
	Salary and Benefits for Elderly Service	\$15,000		Install attic access panels (1 per building)	\$833
PHA-Wide	Contract Services for Records Management	\$15,000		Rewire units, new switches and devices and install with B48/150 amps.	\$11,858
	Administrative Salaries and Benefits	\$36,100		Upgrade electrical service entry	\$9,408
	Consulting services for Budget Revisions and progress and Evaluation Reports.	\$2,000		Install hardwire smoke detector	\$1,078
	A/E Fees	\$25,664		Install gas central heating system (with AC), enclose with water heater and provide combustion air ducts.	\$54,000
	<b>Subtotal PHA-Wide</b>	<b>\$138,764</b>		Paint interior of dwelling units	\$5,880
				Abate asbestos at window panels and floor tile	\$15,181
<b>Total CFP Estimated Cost</b>		<b>\$504,000</b>			\$

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages—Work Activities**

Activities for Year : 2 FFY Grant: 2002 PHA FY: 2003			Activities for Year: 3 FFY Grant: 2003 PHA FY: 2004		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
				Repair soffit and fascia and misc. paint on bldg. exterior	\$1,960
				Install new gas meters	\$1,666
				Replace washing machine box and drain lines.	\$2,450
				Install cutoff valves and frostproof sillcocks in all units.	\$13,230
				Demo all interior walls. Reframe and provide new interior walls.	\$39,968
				Install furring and new wallboard over existing plaster ceilings.	\$14,860
				Enclose closets with swinging solid core doors with hardware.	\$13,720
				Prewire for telephones and cable television.	\$1,960
				<b>Subtotal GA116-3</b>	<b>\$330,782</b>
			PHA-Wide	Install new ranges	\$6,379
				Install new refrigerators	\$8,075
				Purchase Maintenance Vehicle	\$25,000
<b>Total CFP Estimated Cost</b>		\$			\$



**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages—Work Activities**

Activities for Year : 2 FFY Grant: 2002 PHA FY: 2003			Activities for Year: 3 FFY Grant: 2003 PHA FY: 2004		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
				Purchase Filing Cabinet System	\$5,000
				Salary and Benefits for Literacy Instructor	\$15,000
				Salary and Benefits for Elderly Service	\$15,000
				Contract Services for Records Management	\$15,000
				Administrative Salaries and Benefits	\$36,100
				Consulting services for Budget Revisions and progress and Evaluation Reports.	\$2,000
				A/E Fees	\$25,664
				Relocation Costs	\$10,000
				LBP and Asbestos Consulting Fees	\$10,000
				<b>Subtotal PHA-Wide</b>	<b>\$171,218</b>
<b>Total CFP Estimated Cost</b>		<b>\$504,000</b>			<b>\$504,000</b>

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages—Work Activities**

Activities for Year 1	Activities for Year : 4 FFY Grant: 2004 PHA FY: 2005			Activities for Year: 5 FFY Grant: 2005 PHA FY: 2006		
	<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>	<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>
See	GA116-3	Upgrade insulation to R-36	\$6,566	GA116-3	Upgrade insulation to R-36	\$6,566
Annual		Replace windows with insulated type. Framing will be required.	\$31,106		Replace windows with insulated type. Framing will be required.	\$31,106
Statement		Replace front door	\$4,165		Replace front door	\$4,165
		Replace rear door	\$4,165		Replace rear door	\$4,165
		Install solid core interior doors and hardware	\$11,858		Install solid core interior doors and hardware	\$11,858
		Replace floor tile and base (abatement cost below)	\$15,190		Replace floor tile and base (abatement cost below)	\$15,190
		Replace kitchen cabinets, countertops, sinks, faucets and rangehoods	\$33,320		Replace kitchen cabinets, countertops, sinks, faucets and rangehoods	\$33,320
		Replace bathroom lavatory, vanity, faucet, shower, mixing valve and tub surround	\$20,190		Replace bathroom lavatory, vanity, faucet, shower, mixing valve and tub surround	\$20,190
		Replace light fixtures	\$4,116		Replace light fixtures	\$4,116

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages—Work Activities**

Activities for Year : 4 FFY Grant: 2004 PHA FY: 2005			Activities for Year: 5 FFY Grant: 2005 PHA FY: 2006		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
GA116-3	Replace porch lights with vandalproof	\$882	GA116-3	Replace porch lights with vandalproof	\$882
	Install GFI in bath and kitchen	\$1,568		Install GFI in bath and kitchen	\$1,568
	Replace water heaters and vent piping. Run relief line to exterior.	\$4,704		Replace water heaters and vent piping. Run relief line to exterior.	\$4,704
	Install screen doors	\$4,900		Install screen doors	\$4,900
	Install attic access panels (1 per building)	\$833		Install attic access panels (1 per building)	\$833
	Rewire units, new switches and devices and install with B48/150 amps.	\$11,858		Rewire units, new switches and devices and install with B48/150 amps.	\$11,858
	Upgrade electrical service entry	\$9,408		Upgrade electrical service entry	\$9,408
	Install hardwire smoke detector	\$1,078		Install hardwire smoke detector	\$1,078
	Install gas central heating system (with AC), enclose with water heater and provide combustion air ducts.	\$54,000		Install gas central heating system (with AC), enclose with water heater and provide combustion air ducts.	\$54,000
	Paint interior of dwelling units	\$5,880		Paint interior of dwelling units	\$5,880
	Abate asbestos at window panels and floor tile	\$15,181		Abate asbestos at window panels and floor tile	\$15,181
<b>Total CFP Estimated Cost</b>		\$			\$

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages—Work Activities**

Activities for Year : 4 FFY Grant: 2004 PHA FY: 2005			Activities for Year: 5 FFY Grant: 2004 PHA FY: 2005		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	Repair soffit and fascia and misc. paint on bldg. exterior	\$1,960		Repair soffit and fascia and misc. paint on bldg. exterior	\$1,960
	Install new gas meters	\$1,666		Install new gas meters	\$1,666
	Replace washing machine box and drain lines.	\$2,450		Replace washing machine box and drain lines.	\$2,450
	Install cutoff valves and frostproof sillcocks in all units.	\$13,230		Install cutoff valves and frostproof sillcocks in all units.	\$13,230
	Demo all interior walls. Reframe and provide new interior walls.	\$39,968		Demo all interior walls. Reframe and provide new interior walls.	\$39,968
	Install furring and new wallboard over existing plaster ceilings.	\$14,860		Install furring and new wallboard over existing plaster ceilings.	\$14,860
	Enclose closets with swinging solid core doors with hardware.	\$13,720		Enclose closets with swinging solid core doors with hardware.	\$13,720
	Prewire for telephones and cable television.	\$1,960		Prewire for telephones and cable television.	\$1,960
	<b>Subtotal GA116-3</b>	<b>\$330,782</b>		<b>Subtotal GA116-3</b>	<b>\$330,782</b>
PHA-Wide	Install new ranges	\$6,379	PHA-Wide	Install new ranges	\$6,379
	Install new refrigerators	\$8,075		Install new refrigerators	\$8,075
	Purchase Maintenance Vehicle	\$25,000		Purchase Maintenance Vehicle	\$25,000
<b>Total CFP Estimated Cost</b>		\$			\$

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages—Work Activities**

Activities for Year : 4 FFY Grant: 2004 PHA FY: 2005			Activities for Year: 5 FFY Grant: 2005 PHA FY: 2006		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
PHA-Wide	Purchase Filing Cabinet System	\$5,000	PHA-Wide	Purchase Filing Cabinet System	\$5,000
	Salary and Benefits for Literacy Instructor	\$15,000		Salary and Benefits for Literacy Instructor	\$15,000
	Salary and Benefits for Elderly Service	\$15,000		Salary and Benefits for Elderly Service	\$15,000
	Contract Services for Records Management	\$15,000		Contract Services for Records Management	\$15,000
	Administrative Salaries and Benefits	\$36,100		Administrative Salaries and Benefits	\$36,100
	Consulting services for Budget Revisions and progress and Evaluation Reports.	\$2,000		Consulting services for Budget Revisions and progress and Evaluation Reports.	\$2,000
	A/E Fees	\$25,664		A/E Fees	\$25,664
	Relocation Costs	\$10,000		Relocation Costs	\$10,000
	LBP and Asbestos Consulting Fees	\$10,000		LBP and Asbestos Consulting Fees	\$10,000
	<b>Subtotal PHA-Wide</b>	<b>\$173,218</b>		<b>Subtotal PHA-Wide</b>	<b>\$173,218</b>
<b>Total CFP Estimated Cost</b>		<b>\$504,000</b>			<b>\$504,000</b>

# Public Housing Drug Elimination Program Plan

**Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.**

## Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

## Section 1: General Information/History

**A. Amount of PHDEP Grant \$68,794**

**B. Eligibility type (Indicate with an “x”)** N1 \_\_\_\_\_ N2 \_\_\_\_\_ R ☒ X

**C. FFY in which funding is requested 2001**

**D. Executive Summary of Annual PHDEP Plan**

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

## **E. Target Areas**

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
GA 116 – Developments 1, 2, 3, 4, & 5	280	800

## **F. Duration of Program**

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an “x” to indicate the length of program by # of months. For “Other”, identify the # of months).

**6 Months** \_\_\_\_\_ **12 Months** \_\_\_\_\_ **18 Months** ☒ **X** **24 Months** \_\_\_\_\_ **Other** \_\_\_\_\_

## G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995	0	GA06DEP1160195	0	N/A	
FY 1996	\$149,000	GA06DEP1160196	0	N/A	
FY 1997	\$84,000	GA06DEP1160197	0	N/A	
FY 1998	\$84,000	GA06DEP1160198	\$28,101	N/A	6/30/2000
FY 1999	\$61,583	GA06DEP1160199	\$61,583	N/A	10/2000

## Section 2: PHDEP Plan Goals and Budget

### A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

### B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FY 2001 PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	\$25,000
9120 - Security Personnel	
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	
9150 - Physical Improvements	
9160 - Drug Prevention	\$43,974
9170 - Drug Intervention	
9180 - Drug Treatment	
9190 - Other Program Costs	
<b>TOTAL PHDEP FUNDING</b>	<b>\$68,794</b>

## C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 - Reimbursement of Law Enforcement					Total PHDEP Funding: \$25,000		
Goal(s)	Reduce drug-related criminal activity throughout the PHDEP targeted developments.						
Objectives	Use Law Enforcement to provide police protection above baseline services.						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDep Funding	Other Funding (Amount/ Source)	Performance Indicators
1. Police Protection			1/2002	12/2002	\$25,000	\$0	Crime Statistics
2.							
3.							

9160 - Drug Prevention					Total PHDEP Funding: \$43,974		
Goal(s)	Prevent the widespread use of drugs by targeting youth in the prevention of drug use and abuse.						
Objectives	Through a variety of programs and classes, increasing the knowledge base of youth and prepare them for a safer and cleaner livelihood.						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDep Funding	Other Funding (Amount /Source)	Performance Indicators
1. Youth Educational Programs	40	GA 116 (1-5)	1/2002	6/2003	\$15,792	\$0	Attendance
2. GED Classes & Employment Services	20	GA 116 (1-5)	1/2002	6/2003	\$12,500	\$0	Number of GEDs obtained
3. Alternative Activities for Youth	40	GA 116 (1-5)	1/2002	6/2003	\$15,682	\$0	Attendance



### **Section 3: Expenditure/Obligation Milestones**

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

<b>Budget Line Item #</b>	<b>25% Expenditure of Total Grant Funds By Activity #</b>	<b>Total PHDEP Funding Expended (sum of the activities)</b>	<b>50% Obligation of Total Grant Funds by Activity #</b>	<b>Total PHDEP Funding Obligated (sum of the activities)</b>
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110	1	\$25,000	1	\$25,000
9120				
9130				
9140				
9150				
9160	1,2,3	\$25,000	1,2,3	\$25,000
9170				
9180				
9190				
<b>TOTAL</b>		\$50,000		\$50,000

### **Section 4: Certifications**

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name:</b> Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P11650100 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 2000
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/00 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$17,915.00		\$17,915.00	\$17,915.00
3	1408 Management Improvements	\$45,000.00		\$30,000.00	\$12,715.00
4	1410 Administration	\$36,100.00		\$36,100.00	\$24,988.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$21,780.00		\$0.00	\$0.00
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	\$317,669.00		\$0.00	\$0.00
11	1465.1 Dwelling Equipment—Nonexpendable	\$15,518.00		\$15,518.00	\$15,518.00
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	\$30,000.00		\$12,535.00	\$10,485.00
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	\$10,000.00		\$0.00	\$0.00
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$493,982.00		\$112,068.00	\$81,621.00
22	Amount of line 21 Related to LBP Activities				

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name:</b> Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P11650100 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 2000
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    )					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/00 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name: Carrollton Housing Authority		Grant Type and Number Capital Fund Program Grant No: GA06P11650100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
GA116-4 Thomas Homes	Upgrade insulation to R-36	1460	10 units	\$11,009.00		\$0.00	\$0.00	Pending
	Replace windows with insulated type	1460	10 units	\$60,533.00		\$0.00	\$0.00	Pending
	Replace front door	1460	10 units	\$7,598.00		\$0.00	\$0.00	Pending
	Replace rear door	1460	10 units	\$7,598.00		\$0.00	\$0.00	Pending
	Install solid core interior doors and hardware	1460	10 units	\$9,304.00		\$0.00	\$0.00	Pending
	Replace floor tile and base (abatement cost below)	1460	10 units	\$8,572.00		\$0.00	\$0.00	Pending
	Replace kitchen cabinets	1460	10 units	\$29,531.00		\$0.00	\$0.00	Pending
	Replace kitchen countertops	1460	10 units	\$5,323.00		\$0.00	\$0.00	Pending
	Replace kitchen sinks	1460	10 units	\$12,065.00		\$0.00	\$0.00	Pending
	Install range hoods	1460	10 units	\$4,348.00		\$0.00	\$0.00	Pending
	Replace lavatory	1460	10 units	\$6,702.00		\$0.00	\$0.00	Pending
	Install shower in tub	1460	10 units	\$11,009.00		\$0.00	\$0.00	Pending
	Replace light fixtures	1460	10 units	\$14,016.00		\$0.00	\$0.00	Pending
	Replace porch lights with vandal proof	1460	10 units	\$5,323.00		\$0.00	\$0.00	Pending
	Install GFI in bath and kitchen	1460	10 units	\$4,812.00		\$0.00	\$0.00	Pending
	Replace water heaters	1460	10 units	\$5,323.00		\$0.00	\$0.00	Pending
	Install screen doors	1460	10 units	\$8,572.00		\$0.00	\$0.00	Pending

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name: Carrollton Housing Authority		Grant Type and Number Capital Fund Program Grant No: GA06P11650100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
GA116-4 Thomas Homes	Install attic fans	1460	10 units	\$14,016.00		\$0.00	\$0.00	Pending
	Rewire units, new switches and devices, and install with B48/150 amps	1460	10 units	\$41,412.00		\$0.00	\$0.00	Pending
	Upgrade electrical service entry Install hardwire smoke detector	1460	10 units	\$4,185.00		\$0.00	\$0.00	Pending
	Install gas central heating system, enclose with water heater and provide combustion air ducts	1460	10 units	\$26,007.00		\$0.00	\$0.00	Pending
	Enclose furnace and water heater	1460	10 units	\$13,123.00		\$0.00	\$0.00	Pending
	Install combustion air vents	1460	10 units	\$7,288.00		\$0.00	\$0.00	Pending
	<b>Subtotal 1460</b>			<b>\$317,689.00</b>		<b>\$0.00</b>	<b>\$0.00</b>	
PHA-Wide	<b>Dwelling Equipment</b>							
	Install new ranges	1465.1	10 units	\$6,948.00		\$6,948.00	\$6,948.00	Pending
	Install new refrigerators	1465.1	10 units	\$8,570.00		\$8,570.00	\$8,570.00	Pending
	<b>Subtotal 1465.1</b>			<b>\$15,518.00</b>		<b>\$15,518.00</b>	<b>\$15,518.00</b>	
PHA-Wide	Operations	1406	LS	\$17,915.00		\$17,915.00	\$17,915.00	Expended from 1406
	<b>Subtotal 1406</b>			<b>\$17,915.00</b>		<b>\$17,915.00</b>	<b>\$17,915.00</b>	

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part II: Supporting Pages</b>								
PHA Name: Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P11650100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	<b>Nondwelling Equipment</b>							
	Purchase maintenance vehicle	1475	1	\$25,000.00				Partially Complete
	Purchase filing cabinet system	1475	1	\$5,000.00				Pending
	<b>Subtotal 1475</b>			<b>\$30,000.00</b>		<b>\$12,535.00</b>	<b>\$10,485.00</b>	
PHA-Wide	<b>Management Improvements</b>							
	Salary and benefits for Literacy Instructor	1408	1	\$15,000.00				Hired Position
	Salary and benefits for Elderly Service Coordinator	1408	1	\$15,000.00				Expended from 1406
	Contract Services for Records Management	1408	1	\$15,000.00				Pending
	<b>Subtotal 1408</b>			<b>\$45,000.00</b>		<b>\$30,000.00</b>	<b>\$12,715.00</b>	
PHA-Wide	<b>Administrative Costs</b>							
	Administrative Salaries and Benefits	1410	LS	\$36,100.00		\$36,100.00	\$24,988.00	Expended from 1406
	<b>Subtotal 1410</b>			<b>\$36,100.00</b>		<b>\$36,100.00</b>	<b>\$24,988.00</b>	

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part II: Supporting Pages</b>								
PHA Name: Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P11650100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	<b>Fees and Costs</b>							
	A/E Services for GA116-4	1430.1	LS	\$21,780.00		\$0.00	\$0.00	Pending
	<b>Subtotal 1430</b>			<b>\$21,780.00</b>		<b>\$0.00</b>	<b>\$0.00</b>	
PHA-Wide	Relocation Costs							
	Relocation of residents	1495.1	10	\$10,000.00		\$0.00	\$0.00	Pending
	<b>Subtotal 1495.1</b>			<b>\$10,000.00</b>		<b>\$0.00</b>	<b>\$0.00</b>	
	<b>Grant Total</b>			<b>\$493,982.00</b>		<b>\$112,068.00</b>	<b>\$81,621.00</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

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<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name:</b> Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P116707 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 1999
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1 dated 11/28/01) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/00 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	\$30,000.00	\$30,000.00	\$30,000.00	\$3,415.02
4	1410 Administration	\$36,100.00	\$36,100.00	\$36,100.00	\$27,258.25
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$21,780.00	\$16,150.00	\$16,150.00	\$0.00
8	1440 Site Acquisition				
9	1450 Site Improvement		\$33,608.00	\$33,608.00	\$0.00
10	1460 Dwelling Structures	\$346,093.00	\$338,622.00	\$252,892.49	\$148,936.92
11	1465.1 Dwelling Equipment—Nonexpendable	\$16,907.00	\$2,400.00	\$2,400.00	\$0.00
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	\$10,000.00	\$4,000.00	\$4,000.00	\$0.00
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$460,880.00	\$460,880.00	\$375,150.49	\$179,610.19
22	Amount of line 21 Related to LBP Activities				

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name:</b> Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P116707 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 1999
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1 dated 11/28/01) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/00 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures	\$110,839.00	\$110,839.00	\$110,839.00	\$110,839.00

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name: Carrollton Housing Authority		Grant Type and Number Capital Fund Program Grant No: GA06P116707 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b>Site Improvements</b>							
GA116-4 Thomas Homes	Landscaping	1450	L. S.	\$0.00	\$33,608.00	\$33,608.00	\$0.00	Completed as of 11/28/01
	<b>Subtotal 1450</b>			<b>\$0.00</b>	<b>\$33,608.00</b>	<b>\$33,608.00</b>	<b>\$0.00</b>	
	<b>Dwelling Structures</b>							
GA116-4 Thomas Homes	A. Upgrade insulation to R-36	1460	4 units	\$11,994.00	\$4,850.00			Pending
	B. Replace windows with insulated type	1460	4 units	\$65,949.00	\$49,090.00			Pending
	C. Replace front door	1460	4 units	\$8,278.00	\$3,350.00			Pending
	D. Replace rear door	1460	4 units	\$8,278.00	\$3,350.00			Pending
	E. Replace interior doors	1460	4 units	\$10,137.00	\$4,100.00			Pending
	F. Replace floor tile	1460	4 units	\$9,339.00	\$3,780.00			Pending
	G. Replace kitchen cabinets	1460	4 units	\$32,173.00	\$15,020.00			Pending
	H. Replace kitchen countertops	1460	4 units	\$5,799.00	\$2,350.00			Pending
	I. Replace kitchen sinks	1460	4 units	\$13,145.00	\$5,320.00			Pending
	J. Install range hoods	1460	4 units	\$4,737.00	\$1,920.00			Pending
	K. Replace lavatory	1460	4 units	\$7,302.00	\$2,955.00			Pending
	L. Install shower in tub	1460	4 units	\$11,994.00	\$4,850.00			Pending
	M. Replace light fixtures	1460	4 units	\$15,270.00	\$6,180.00			Pending

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name: Carrollton Housing Authority		Grant Type and Number Capital Fund Program Grant No: GA06P116707 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	N. Replace porch lights with vandal proof	1460	4 units	\$5,799.00	\$2,350.00			Pending
	O. Install GFI in bath and kitchen	1460	4 units	\$5,243.00	\$2,120.00			Pending
	P. Replace water heaters	1460	4 units	\$5,799.00	\$2,350.00			Pending
	Q. Install screen doors	1460	4 units	\$9,339.00	\$3,780.00			Pending
	R. Install attic fans	1460	4 units	\$15,270.00	\$6,180.00			Pending
	S. Rewire units, install 150 amp service	1460	4 units	\$45,118.00	\$20,260.00			Pending
	T. Install hardwire smoke detector	1460	4 units	\$4,560.00	\$1,840.00			Pending
	U. Install HVAC system	1460	4 units	\$28,334.00	\$12,386.00			Pending
	V. Enclose furnace and water heater	1460	4 units	\$14,296.00	\$5,790.00			Pending
	W. Install combustion air vents	1460	4 units	\$7,940.00	\$3,906.14			Pending
	Y. Reroof dwelling units (PHA-Wide as required)	1460	L. S.	\$0.00	\$154,244.86			Completed
	Z. Trim trees (PHA-Wide) as required (requisitioned as 1460)	1460	L. S.	\$0.00	\$16,300.00			Completed
	<b>Subtotal 1460</b>			<b>\$346,093.00</b>	<b>\$338,622.00</b>	<b>\$252,892.49</b>	<b>\$148,936.92</b>	
GA116-4	<b>Dwelling Equipment</b>							
	A. Install new ranges	1465.1	L. S.	\$7,570.00	\$880.00	\$880.00	\$0.00	Pending
	B. Install new refrigerators	1465.1	L. S.	\$9,337.00	\$1,520.00	\$1,520.00	\$0.00	Pending
	<b>Subtotal 1465.1</b>			<b>\$16,907.00</b>	<b>\$2,400.00</b>	<b>\$2,400.00</b>	<b>\$0.00</b>	

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name: Carrollton Housing Authority		Grant Type and Number Capital Fund Program Grant No: GA06P116707 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	<b>Management Improvements</b>							
	A. Part-time Literacy Instructor	1408	100%	\$15,000.00	\$15,000.00	\$15,000.00		Pending
	B. Elderly Service Coordinator	1408	100%	\$15,000.00	\$15,000.00	\$15,000.00		Pending
	<b>Subtotal 1408</b>			<b>\$30,000.00</b>	<b>\$30,000.00</b>	<b>\$30,000.00</b>	<b>\$3,415.02</b>	
PHA-Wide	<b>Administrative Costs</b>							
	A. 30% of Executive Director Salary	1410	30%	\$12,521.00	\$12,521.00	\$12,521.00		Pending
	B. 5% of Administrative Assistant Salary	1410	5%	\$2,200.00	\$2,200.00	\$2,200.00		Pending
	C. 5% of Tenant Selector	1410	5%	\$500.00	\$500.00	\$500.00		Pending
	D. 25% of Clerical	1410	5%	\$2,000.00	\$2,000.00	\$2,000.00		Pending
	E. 20% of Maintenance Supervisor	1410	20%	\$6,080.00	\$6,080.00	\$6,080.00		Pending
	F. Information Specialist	1410	L. S.	\$6,000.00	\$6,000.00	\$6,000.00		Pending
	G. Fringe Benefit	1410	L. S.	\$6,799.00	\$6,799.00	\$6,799.00		Pending
	<b>Subtotal 1410</b>			<b>\$36,100.00</b>	<b>\$36,100.00</b>	<b>\$36,100.00</b>	<b>\$27,258.25</b>	
PHA-Wide	<b>Fees and Costs</b>							
	A. A&E Services for GA116-4 (included only work items A thru W only)	1430	1430.1	\$21,780.00	\$11,900.00	\$11,900.00	\$0.00	Pending
	B. LBP/Asbestos Abatement Consulting Fees	1430	1430.2	\$0.00	\$3,000.00	\$3,000.00	\$0.00	Pending

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part II: Supporting Pages</b>								
PHA Name: Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P116707 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	C. Consulting Services for 1997, 1998 and 1999	1430	1430.2	\$0.00	\$1,250.00	\$1,250.00	\$0.00	Pending
	<b>Subtotal 1430</b>			<b>\$21,780.00</b>	<b>\$16,150.00</b>	<b>\$16,150.00</b>	<b>\$0.00</b>	
	<b>Relocation Costs</b>							
PHA-Wide	Relocation Costs	1495.1	4 units	\$10,000.00	\$4,000.00	\$4,000.00	\$0.00	Pending
	<b>Subtotal 1495.1</b>			<b>\$10,000.00</b>	<b>\$4,000.00</b>	<b>\$4,000.00</b>	<b>\$0.00</b>	
	<b>Grant Total</b>			<b>\$460,880.00</b>	<b>\$460,880.00</b>	<b>\$375,150.49</b>	<b>\$179,610.19</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

[illegible]

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name:</b> Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P116706 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 1998
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1 dated 11-28-01) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/00 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$15,000.00	\$0.00	\$0.00	\$0.00
2	1406 Operations				
3	1408 Management Improvements	\$34,254.00	\$39,654.00	\$39,654.00	\$39,654.00
4	1410 Administration	\$33,000.00	\$39,365.76	\$39,365.76	\$39,365.76
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$16,000.00	\$16,000.00	\$16,000.00	\$16,000.00
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	\$318,000.00	\$306,234.24	\$185,382.55	\$185,382.55
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$401,254.00	\$401,254.00	\$280,402.31	\$280,402.31
22	Amount of line 21 Related to LBP Activities				



<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name:</b> Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P116706 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 1998
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1 dated 11-28-01) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/00 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name: Carrollton Housing Authority		Grant Type and Number Capital Fund Program Grant No: GA06P116706 Replacement Housing Factor Grant No:				Federal FY of Grant: 1998		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Total Non-CGP Funds	Two lots		2 lots	\$15,000.00	\$0.00	\$0.00	\$0.00	Donated by City
	<b>Subtotal</b>			\$15,000.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	<b>Management Improvements</b>							
	A. Funds for Part-time Literacy Instructor	1408	1	\$13,435.00	\$13,435.00	\$13,435.00	\$13,435.00	Complete
	B. Information Specialist	1408	1	\$20,819.00	\$26,219.00	\$26,219.00	\$26,219.00	Complete
	<b>Subtotal 1408</b>			<b>\$34,254.00</b>	<b>\$39,654.00</b>	<b>\$39,654.00</b>	<b>\$39,654.00</b>	
PHA-Wide	<b>Administrative Costs</b>							
	A. 30% of Executive Director Salary	1410	30%	\$12,521.00	\$12,521.00	\$12,521.00	\$12,521.00	Complete
	B. 5% of Administrative Assistant Salary	1410	5%	\$2,200.00	\$2,200.00	\$2,200.00	\$2,200.00	Complete
	C. 5% of Tenant Selector	1410	5%	\$500.00	\$500.00	\$500.00	\$500.00	Complete
	D. 25% of Clerical	1410	5%	\$2,000.00	\$2,000.00	\$2,000.00	\$2,000.00	Complete
	E. 20% of Maintenance Supervisor	1410	20%	\$6,080.00	\$6,080.00	\$6,080.00	\$6,080.00	Complete
	F. Information Specialist	1410		\$3,000.00	\$3,000.00	\$3,000.00	\$3,000.00	Complete
	G. Fringe Benefit	1410	L.S.	\$6,699.00	\$6,699.00	\$6,699.00	\$6,699.00	Complete
	H. Increased to match requisitions and LOCCS			\$0.00	\$6,365.76	\$6,365.76	\$6,365.76	Complete
	<b>Subtotal 1410</b>			<b>\$33,000.00</b>	<b>\$39,365.76</b>	<b>\$39,365.76</b>	<b>\$39,365.76</b>	

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name: Carrollton Housing Authority		Grant Type and Number Capital Fund Program Grant No: GA06P116706 Replacement Housing Factor Grant No:				Federal FY of Grant: 1998		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	<b>Fees and Costs</b>							
	A. A&E Services for GA116-4	1430		\$16,000.00	\$16,000.00	\$16,000.00	\$16,000.00	Complete
	<b>Subtotal 1430</b>			<b>\$16,000.00</b>	<b>\$16,000.00</b>	<b>\$16,000.00</b>	<b>\$16,000.00</b>	
	<b>Dwelling Structures</b>							
GA116-4 Thomas Homes	A. Upgrade insulation to R-36	1460	6 units	\$6,542.00	\$6,542.00	\$6,542.00	\$6,542.00	Complete
	B. Replace windows with insulated type	1460	6 units	\$35,972.00	\$23,354.55	\$23,354.55	\$23,354.55	Complete
	C. Replace front door	1460	6 units	\$4,515.00	\$4,515.00	\$4,515.00	\$4,515.00	Complete
	D. Replace rear door	1460	6 units	\$4,515.00	\$4,515.00	\$4,515.00	\$4,515.00	Complete
	E. Replace interior doors	1460	6 units	\$5,529.00	\$5,529.00	\$5,529.00	\$5,529.00	Complete
	F. Replace floor tile	1460	6 units	\$5,094.00	\$5,094.00	\$5,094.00	\$5,094.00	Complete
	G. Replace kitchen cabinets	1460	6 units	\$17,549.00	\$17,549.00	\$17,549.00	\$17,549.00	Complete
	H. Replace kitchen countertops	1460	6 units	\$3,163.00	\$3,163.00	\$3,163.00	\$3,163.00	Complete
	I. Replace kitchen sinks	1460	6 units	\$7,170.00	\$7,170.00	\$7,170.00	\$7,170.00	Complete
	J. Install range hoods	1460	6 units	\$2,584.00	\$2,584.00	\$2,584.00	\$2,584.00	Complete
	K. Replace lavatory	1460	6 units	\$3,983.00	\$3,983.00	\$3,983.00	\$3,983.00	Complete
	L. Install shower in tub	1460	6 units	\$6,542.00	\$6,542.00	\$6,542.00	\$6,542.00	Complete
	M. Replace light fixtures	1460	6 units	\$8,329.00	\$8,329.00	\$8,329.00	\$8,329.00	Complete
	N. Replace porch lights with vandal proof	1460	6 units	\$3,163.00	\$3,163.00	\$3,163.00	\$3,163.00	Complete

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Carrollton Housing Authority		Grant Type and Number Capital Fund Program Grant No: GA06P116706 Replacement Housing Factor Grant No:				Federal FY of Grant: 1998		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	O. Install GFI in bath and kitchen	1460	6 units	\$2,860.00	\$2,860.00	\$2,860.00	\$2,860.00	Complete
	P. Replace water heaters	1460	6 units	\$3,163.00	\$3,163.00	\$3,163.00	\$3,163.00	Complete
	Q. Install screen doors	1460	6 units	\$5,094.00	\$5,094.00	\$5,094.00	\$5,094.00	Complete
GA116-4 Thomas Homes	R. Install attic fans	1460	6 units	\$8,329.00	\$8,329.00	\$8,329.00	\$8,329.00	Complete
	S. Rewire units, install 150 amp service	1460	6 units	\$24,610.00	\$24,610.00	\$24,610.00	\$24,610.00	Complete
	T. Install hardwire smoke detector	1460	6 units	\$2,487.00	\$2,487.00	\$2,487.00	\$2,487.00	Complete
	U. Install HVAC system	1460	6 units	\$15,455.00	\$15,455.00	\$15,455.00	\$15,455.00	Complete
	V. Enclose furnace and water heater	1460	6 units	\$7,796.00	\$7,796.00	\$7,796.00	\$7,796.00	Complete
	W. Install combustion air vents	1460	6 units	\$4,331.00	\$4,331.00	\$4,331.00	\$4,331.00	Complete
	X. Install new ranges	1460	6 units	\$4,129.00	\$4,129.00	\$4,129.00	\$4,129.00	Complete
	Y. Install new refrigerators	1460	6 units	\$5,094.00	\$5,094.00	\$5,094.00	\$5,094.00	Complete
	Z. Complete above items on approximately four (4) additional units.	1460	4 Units	\$120,000.00	\$120,851.69	\$0.00	\$0.00	Pending. Subject to Contract Award.
	<b>Subtotal 1460</b>			<b>\$318,000.00</b>	<b>\$306,234.24</b>	<b>\$185,382.55</b>	<b>\$185,382.55</b>	
Section 5 (h) Homeownership Program	A. Construction of two new dwelling units	1498	2 units	\$120,000.00	\$0.00	\$0.00	\$0.00	Deleted
	<b>Subtotal 1498</b>			<b>\$120,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part II: Supporting Pages</b>								
PHA Name: Carrollton Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P116706 Replacement Housing Factor Grant No:			Federal FY of Grant: 1998		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b>Grand Total</b>			<b>\$401,254.00</b>	<b>\$401,254.00</b>	<b>\$280,402.31</b>	<b>\$280,402.31</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

[illegible]

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name:</b> Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P116705 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 1997
<input type="checkbox"/> <b>Original Annual Statement</b> <input type="checkbox"/> <b>Reserve for Disasters/ Emergencies</b> <input checked="" type="checkbox"/> <b>Revised Annual Statement (revision no: 1 dated 12/12/01)</b> <input type="checkbox"/> <b>Performance and Evaluation Report for Period Ending: 12/31/00</b> <input checked="" type="checkbox"/> <b>Final Performance and Evaluation Report</b>					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	\$58,000.00	\$31,556.03	\$31,556.03	\$31,556.03
4	1410 Administration	\$33,000.00	\$33,883.81	\$33,883.81	\$33,883.81
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$6,000.00	\$327.77	\$327.77	\$327.77
8	1440 Site Acquisition	\$230,000.00	\$230,000.00	\$230,000.00	\$230,000.00
9	1450 Site Improvement				
10	1460 Dwelling Structures	\$41,354.00	\$74,786.39	\$74,786.39	\$74,786.39
11	1465.1 Dwelling Equipment—Nonexpendable	\$2,200.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$370,554.00	\$370,554.00	\$370,554.00	\$370,554.00
22	Amount of line 21 Related to LBP Activities				

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name:</b> Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P116705 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 1997
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1 dated 12/12/01) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/00 <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures	\$6,800.00		\$6,800.00	\$6,800.00



## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name: Carrollton Housing Authority		Grant Type and Number Capital Fund Program Grant No: GA06P116705 Replacement Housing Factor Grant No:				Federal FY of Grant: 1997		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b>Dwelling Structures</b>							
GA116-4 Thomas Homes	A. Upgrade insulation to R-36	1460	4 units	\$800.00	\$800.00	\$800.00	\$800.00	Complete
	B. Replace windows with insulated type	1460	4 units	\$4,000.00	\$4,000.00	\$4,000.00	\$4,000.00	Complete
	C. Replace front door	1460	4 units	\$1,000.00	\$1,000.00	\$1,000.00	\$1,000.00	Complete
	D. Replace rear door	1460	4 units	\$1,000.00	\$1,000.00	\$1,000.00	\$1,000.00	Complete
	E. Install solid core interior doors and hardware	1460	4 units	\$2,000.00	\$2,000.00	\$2,000.00	\$2,000.00	Complete
	F. Replace floor tile and base	1460	4 units	\$4,800.00	\$4,800.00	\$4,800.00	\$4,800.00	Complete
	G. Replace kitchen cabinets	1460	4 units	\$4,800.00	\$4,800.00	\$4,800.00	\$4,800.00	Complete
	H. Replace kitchen countertops	1460	4 units	\$800.00	\$800.00	\$800.00	\$800.00	Complete
	I. Replace kitchen sinks	1460	4 units	\$800.00	\$800.00	\$800.00	\$800.00	Complete
	J. Install range hoods	1460	4 units	\$600.00	\$600.00	\$600.00	\$600.00	Complete
	K. Replace lavatory	1460	4 units	\$600.00	\$600.00	\$600.00	\$600.00	Complete
	L. Install shower in tub	1460	4 units	\$500.00	\$500.00	\$500.00	\$500.00	Complete
	M. Replace light fixtures	1460	4 units	\$1,200.00	\$1,200.00	\$1,200.00	\$1,200.00	Complete
	N. Replace porch lights with vandal proof	1460	4 units	\$400.00	\$400.00	\$400.00	\$400.00	Complete
	O. Install GFI in bath and kitchen	1460	4 units	\$400.00	\$400.00	\$400.00	\$400.00	Complete
	P. Replace water heaters	1460	4 units	\$500.00	\$500.00	\$500.00	\$500.00	Complete
	Q. Install screen doors	1460	4 units	\$1,000.00	\$1,000.00	\$1,000.00	\$1,000.00	Complete

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part II: Supporting Pages</b>								
PHA Name: Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P116705 Replacement Housing Factor Grant No:				Federal FY of Grant: 1997		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
GA116-4 Thomas Homes	R. Install attic fans	1460	4 units	\$500.00	\$500.00	\$500.00	\$500.00	Complete
	S. Rewire units, new switches and devices, and install with B48/150 amps	1460	4 units	\$6,000.00	\$6,000.00	\$6,000.00	\$6,000.00	Complete
	T. Upgrade electrical service entry Install hardwire smoke detector	1460	4 units	\$400.00	\$400.00	\$400.00	\$400.00	Complete
	U. Install gas central heating system, enclose with water heater and provide combustion air ducts	1460	4 units	\$8,054.00	\$3,755.93	\$3,755.00	\$3,755.00	Complete
	V. Enclose furnace and water heater	1460	4 units	\$600.00	\$600.00	\$600.00	\$600.00	Complete
	W. Install combustion air vents	1460	4 units	\$600.00	\$600.00	\$600.00	\$600.00	Complete
	X. Budget Adjustment	1460	4 Units	\$0	\$37,730.46	\$37,730.46	\$37,730.46	Complete
	<b>Subtotal 1460</b>			<b>\$41,354.00</b>	<b>\$74,786.39</b>	<b>\$74,786.39</b>	<b>\$74,786.39</b>	
	<b>Site Acquisition</b>							
PHA-Wide	A. Site Acquisition	1440	100%	\$230,000.00	\$230,000.00	\$230,000.00	\$230,000.00	Complete
	<b>Subtotal 1440</b>			<b>\$230,000.00</b>	<b>\$230,000.00</b>	<b>\$230,000.00</b>	<b>\$230,000.00</b>	
	<b>Dwelling Equipment</b>							
PHA-Wide	A. Install new ranges	1465.1	16 units	\$1,100.00	\$0.00	\$0.00	\$0.00	Deleted
	B. Install new refrigerators	1465.1	16 units	\$1,100.00	\$0.00	\$0.00	\$0.00	Deleted
	<b>Subtotal 1465.1</b>			<b>\$2,200.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name: Carrollton Housing Authority		Grant Type and Number Capital Fund Program Grant No: GA06P116705 Replacement Housing Factor Grant No:				Federal FY of Grant: 1997		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b>Administration</b>							
PHA-Wide	A. 30% of Executive Director Salary	1410	30%	\$12,521.00	\$12,521.00	\$12,521.00	\$12,521.00	Complete
	B. 5% of Administrative Assistant Salary	1410	5%	\$2,200.00	\$2,200.00	\$2,200.00	\$2,200.00	Complete
	C. 5% of Tenant Selector	1410	5%	\$500.00	\$500.00	\$500.00	\$500.00	Complete
	D. 5% of Clerical	1410	5%	\$2,000.00	\$2,000.00	\$2,000.00	\$2,000.00	Complete
	E. 20% of Maintenance Superintendent	1410	20%	\$6,080.00	\$6,080.00	\$6,080.00	\$6,080.00	Complete
	F. Information Specialist	1410		\$3,000.00	\$3,000.00	\$3,000.00	\$3,000.00	Complete
	G. Fringe Benefit–Adjusted for Bud. Rev. 1	1410	LS	\$6,699.00	\$7,582.81	\$7,582.81	\$7,582.81	Complete
	<b>Subtotal 1410</b>			<b>\$33,000.00</b>	<b>\$33,883.81</b>	<b>\$33,883.81</b>	<b>\$33,883.81</b>	
	<b>Fees and Costs</b>							
PHA-Wide	A. A/E Services for GA116-4	1430	100%	\$6,000.00	\$327.77	\$327.77	\$327.77	Complete
	<b>Subtotal 1430</b>			<b>\$6,000.00</b>	<b>\$327.77</b>	<b>\$327.77</b>	<b>\$327.77</b>	

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part II: Supporting Pages</b>								
PHA Name: Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P116705 Replacement Housing Factor Grant No:				Federal FY of Grant: 1997		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b>Management Improvements</b>							
PHA-Wide	A. Funds Literacy Instructor-Soft Cost	1408	100%	\$22,000.00	\$22,000.00	\$22,000.00	\$22,000.00	Complete
	B. Funds Employment Specialist-Soft Cost	1408	100%	\$16,000.00	\$16,000.00	\$16,000.00	\$16,000.00	Complete
	C. Purchase and install Satellite Learning System	1408	100%	\$20,000.00	\$20,000.00	\$20,000.00	\$20,000.00	Complete
	1408 Account reduced by approved Budget Rev.1			\$0	(\$26,443.97)	(\$26,443.97)	(\$26,443.97)	
	<b>Subtotal 1408</b>			<b>\$58,000.000</b>	<b>\$31,556.03</b>	<b>\$31,556.03</b>	<b>\$31,556.03</b>	
	<b>Grand Total</b>			<b>\$370,554.00</b>		<b>\$370,554.00</b>	<b>\$370,554.00</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

[illegible]

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name:</b> Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P116704 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 1996
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    )					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/00 <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$0.00	\$10,120.38	\$10,120.38	\$10,120.38
3	1408 Management Improvements	\$29,668.00	\$33,715.45	\$33,715.45	\$33,715.45
4	1410 Administration	\$21,400.00	\$25,904.54	\$25,904.54	\$25,904.54
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$21,300.00	\$22,520.00	\$22,520.00	\$22,520.00
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	\$174,247.00	\$155,322.35	\$155,322.35	\$155,322.35
11	1465.1 Dwelling Equipment—Nonexpendable	\$6,137.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$100,650.00	\$105,598.66	\$105,598.66	\$105,598.66
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	\$1,800.00	\$2,020.62	\$2,020.62	\$2,020.62
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$355,202.00	\$355,202.00	\$355,202.00	\$355,202.00
22	Amount of line 21 Related to LBP Activities				

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name:</b> Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P116704 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 1996
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    )					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/00 <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name: Carrollton Housing Authority		Grant Type and Number Capital Fund Program Grant No: GA06P116704 Replacement Housing Factor Grant No:				Federal FY of Grant: 1996		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
GA116-5A Elder Circle Central Office	A. Renovate existing space	1470	1680 sf	\$15,150.00				
	B. Building addition	1470	1425 sf	\$85,500.00				
	<b>Subtotal 1470</b>			<b>\$100,650.00</b>	<b>\$105,598.66</b>	<b>\$105,598.66</b>	<b>\$105,598.66</b>	
GA116-4 Thomas Homes	A. Upgrade insulation to R-36	1460	6 units	\$5,012.00				
	B. Replace windows with insulated type	1460	6 units	\$30,624.00				
	C. Replace front door	1460	6 units	\$3,098.00				
	D. Replace rear door	1460	6 units	\$3,098.00				
	E. Replace interior doors	1460	6 units	\$4,055.00				
	F. Enclose closets & install doors	1460	6 units	\$8,840.00				
	G. Replace tubs	1460	6 units	\$3,649.00				
	H. Replace floor tile	1460	6 units	\$15,449.00				
	I. Replace kitchen cabinets	1460	6 units	\$15,408.00				
	J. Replace kitchen countertops	1460	6 units	\$1,824.00				
	K. Replace kitchen sinks	1460	6 units	\$5,604.00				
	L. Install range hoods	1460	6 units	\$1,280.00				
	M. Replace lavatory	1460	6 units	\$2,596.00				



**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

<b>PHA Name:</b> Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P116704 Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> 1996		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
GA116-4 Thomas Homes	N. Install bath vanity (24")	1460	6 units	\$1,501.00				
	O. Install shower in tub	1460	6 units	\$5,012.00				
	P. Replace light fixtures	1460	6 units	\$6,699.00				
	Q. Replace porch lights with vandal proof	1460	6 units	\$1,824.00				
	R. Install GFI in bath and kitchen	1460	6 units	\$1,370.00				
	S. Remove pantry and enlarge kitchen	1460	6 units	\$6,059.00				
	T. Replace hot water heaters	1460	6 units	\$1,824.00				
	U. Install screen doors	1460	6 units	\$3,649.00				
	V. Install attic fans	1460	6 units	\$6,699.00				
	W. Rewire units, install 150 amp service	1460	6 units	\$17,337.00				
	X. Install hardwire smoke detector	1460	6 units	\$1,184.00				
	Y. Install gas control heating system	1460	6 units	\$11,257.00				
	Z. Enclose furnace and water heater	1460	6 units	\$6,197.00				
	AA. Install combustion air vents	1460	6 units	\$3,098.00				
	BB. Install new ranges	1465.1	6 units	\$2,512.00				
	CC. Install new refrigerators	1465.1	6 units	\$3,625.00				
	<b>Subtotal 1460</b>			<b>\$180,384.00</b>	<b>\$155,322.35</b>	<b>\$155,322.35</b>	<b>\$155,322.35</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

<b>PHA Name:</b> Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P116704 Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> 1996		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b>Administration</b>							
PHA-Wide	A. 30% of Executive Director Salary	1410	30%	\$11,200.00				
	B. 5% of Administrative Assistant Salary	1410	5%	\$1,000.00				
	C. 20% of Maintenance Supervisor	1410	20%	\$4,200.00				
	D. Fringe Benefit	1410	LS	\$5,000.00				
	<b>Subtotal 1410</b>			<b>\$21,400.00</b>	<b>\$25,904.54</b>	<b>\$25,904.54</b>	<b>\$25,904.54</b>	
	<b>Fees and Costs</b>							
PHA-Wide	A. A/E Services for GA116-1	1430	100%	\$8,000.00				
	B. A/E Services for GA116-4	1430	100%	\$13,300.00				
	<b>Subtotal 1430</b>			<b>\$21,300.00</b>	<b>\$22,520.00</b>	<b>\$22,520.00</b>	<b>\$22,520.00</b>	
	<b>Operations</b>							
PHA-Wide	A. CGP Funds for Operations	1406	100%	\$0.00				
	<b>Subtotal 1406</b>			<b>\$0.00</b>	<b>\$10,120.38</b>	<b>\$10,120.38</b>	<b>\$10,120.38</b>	
	<b>Management Improvements</b>							
PHA-Wide	A. Funds Literacy Instructor	1408	100%	\$20,152.00				
	B. Funds Employment Specialist	1408	100%	\$9,516.00				
	<b>Subtotal 1408</b>			<b>\$29,668.00</b>	<b>\$33,715.45</b>	<b>\$33,715.45</b>	<b>\$33,715.45</b>	

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part II: Supporting Pages</b>								
PHA Name: Carrollton Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: GA06P116704 Replacement Housing Factor Grant No:				Federal FY of Grant: 1996		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b>Relocation Costs</b>							
PHA-Wide	A. Relocate 6 residents in GA116-4 During Construction	1495.1	6 units	\$1,800.00				
	<b>Subtotal 1495.1</b>			<b>\$1,800.00</b>	<b>\$2,020.62</b>	<b>\$2,020.62</b>	<b>\$2,020.62</b>	
	<b>Grand Total</b>			<b>\$370,554.00</b>		<b>\$370,554.00</b>	<b>\$370,554.00</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

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